

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 11, 2016

Payment Due Date: September 12, 2016

MSA File Number:	2016-224	Specified Penalty Amount:	\$ 1,500
Market Participant Name:	NRGreen Power Limited Partnership		
Asset ID (if applicable):	NRG3	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	April 18, 2016
Date of Referral/Self Report:	July 21, 2016	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On April 18, 2016, at 06:54, the NRG3 asset received an advanced energy dispatch to move from its prevailing dispatch level of 12 MW to 0 MW effective at 07:00. Information available to the MSA indicated in this case that NRG3 did not ramp towards the new dispatch level within ten minutes of the time specified in the dispatch and continued to generate at a level beyond the allowable dispatch variance (ADV) of the 0 MW dispatch until 07:37 or approximately 20 minutes past the period set out in subsection 4(2)(a). ISO rule section 203.4 indicates that a pool participant must ramp its generating source asset that is subject to a dispatch toward the new dispatch level within ten minutes of the time specified in the dispatch and also must ensure that the generating source asset reaches generating asset steady state by no longer than the period set out in subsection 4(2)(a).

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation, dispatch, and available capability data for NRG3 for April 18, 2016.
2. AESO information request issued to NRGreen Power Limited Partnership dated June 23, 2016.
3. NRGreen Power Limited Partnership's response to the AESO information request dated June 30, 2016.
4. Referral from the AESO to the MSA dated July 21, 2016.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	August 11, 2016
Name:	Doug Doll	Title:	Director Corporate Services and Compliance